

# **Webinar: Why Conduct a Climate Change Vulnerability Assessment?**



Presented by the  
Institute for Tribal Environmental Professionals  
&  
Desert Research Institute and Ohio University

**Thursday July 26, 2018,  
11 am MDT**

# ITEP's Climate Change Webinar Series

## 2.0 Advanced Topics in Adaptation



### Three Bi- Monthly Webinars

July, September, November

#### **Introduce tribal climate adaptation and resilience leaders working in:**

- ❖ Policy
- ❖ Climate science and adaptation planning
- ❖ Across a variety of scales and sectors

#### **Participants will gain:**

- ❖ Better understanding of why and how to act now to adapt to climate change
- ❖ An appreciation for the role of vulnerability assessments in the adaptation process
- ❖ Increased knowledge of how to enhance local resilience and overcome modern challenges related to climate change

# Today's Panelists



**Derek Kauneckis**, PhD., Associate Professor, Voinovich School of Leadership and Public Affairs- Ohio University. Research in institutional analysis, public policy, resilience theory and collaborative governance.

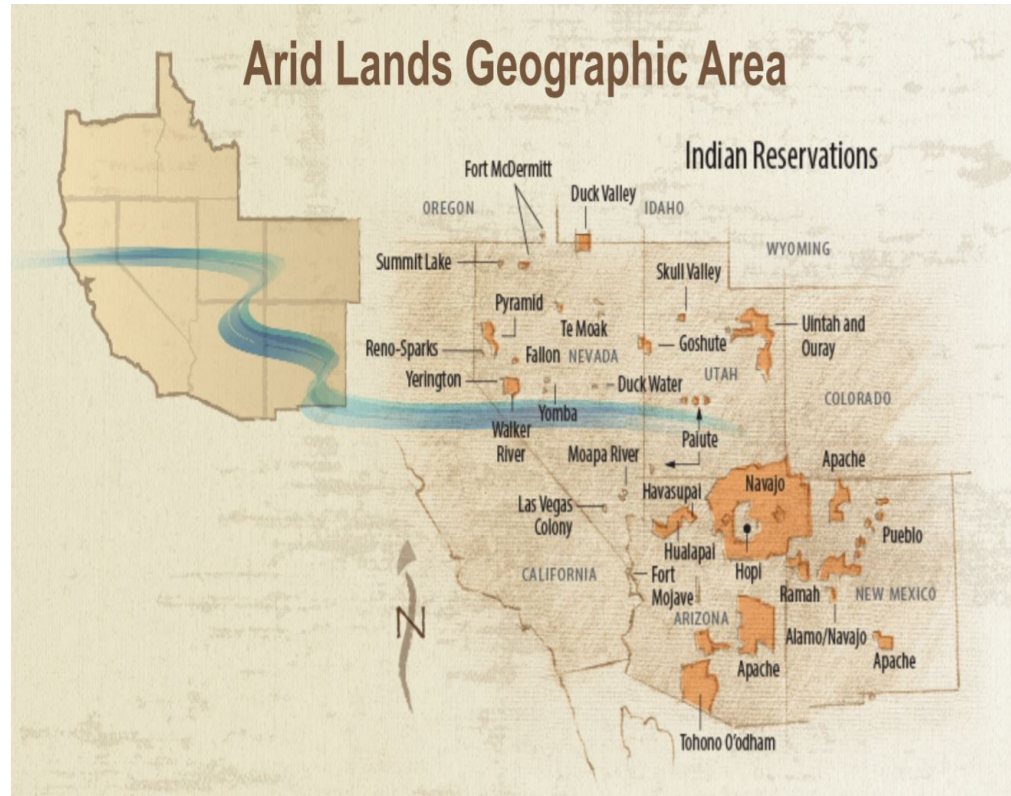


**Anna Palmer**, MSES, Research Faculty, Earth & Ecosystem Science- Desert Research Institute. Conducted the first large scale Vulnerability Assessment to support Native American communities influenced by drought and climate change in Western North America for the Native Waters on Arid Lands project.

**Today's information and training session will guide decision-makers through the process of assessing vulnerability**

# Native Waters on Arid Lands

- Five year (2015-2020) project dedicated to enhancing climate resilience on tribal lands in the American Southwest and Great Basin.
- Tribal Summit- Reno NV  
October 17-18, 2018  
<http://nativewaters-aridlands.com/events/>



## Sponsoring Agencies



## Partnering Institutions



University of Nevada, Reno



# Conceptual Framework



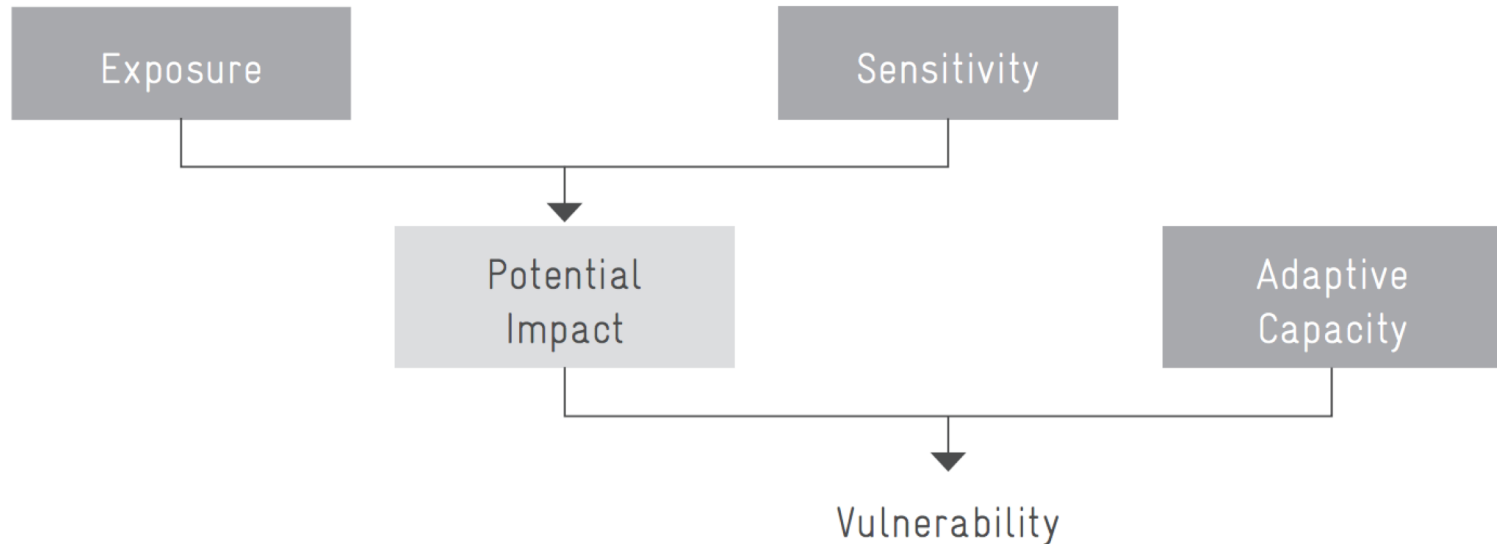
- ✦ **Definition-** “*Vulnerability*: the degree to which a system is susceptible to, or unable to cope with, adverse effects of climate change, including climate variability and extremes”
- ✦ **Vulnerability** = Exposure + Sensitivity - Adaptive Capacity



# What are vulnerability assessments?



- ✦ A tool for synthesizing information on biophysical conditions, community assets, social characteristics and other locally important factors
- ✦ A framework and iterative process for examining vulnerabilities and evaluating potential interventions
- ✦ Fundamental support for other planning efforts.

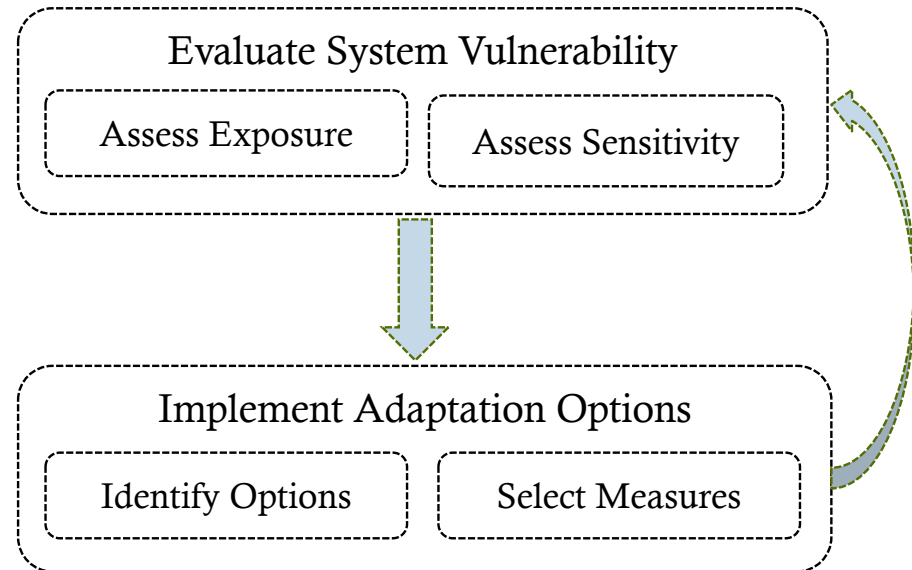


# Why measure vulnerability?



## Vulnerability commonly is measured to-

1. Understand the magnitude of threats imposed by climate change;
2. Inform decision-making on aid and investment;
3. Prioritize aid for climate adaptation;
4. Identify measures that effectively reduce vulnerability;



# Exposure



The nature and degree of climate stress upon a particular unit of analysis

- long-term climate conditions
- climate variability
- magnitude and frequency of extreme events



Image Sources- Indian Country Today Media Network 2017, 2013



# Sensitivity



The degree to which a system is affected, either adversely or beneficially, by climate related stimuli.



High Sensitivity

Source- California Water Blog



Colorado River Indian Tribe Farms Source- AZ Water.gov

# Adaptive Capacity



The ability of a system to adjust to climate change to moderate potential damages, to take advantage of opportunities or to cope with the consequences.

**Tribal communities are extraordinarily adaptive**



Low Adaptive Capacity

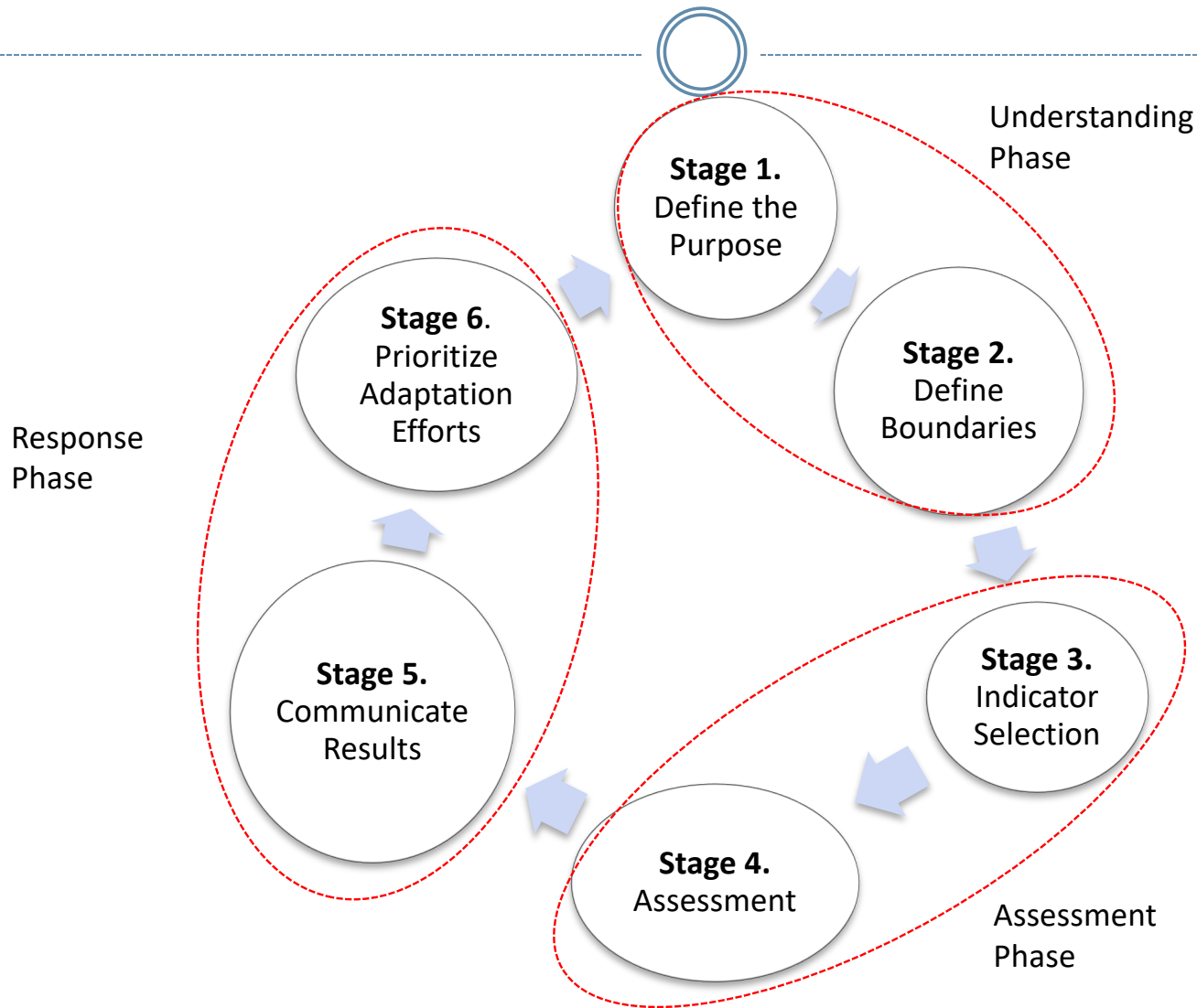
Source- Hualapai 2003



High Adaptive Capacity

Source-Whitescarver Natural Resources Department 2014

# Making the concept of vulnerability tangible



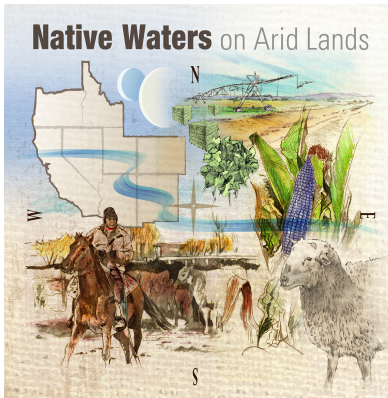
**Stage 1.  
Define the  
Purpose**

**Steps** – Formulate **questions** to be answered by  
the assessment

- What do we want to know and why?
- Six broad categories of purpose -
  1. Identify targets for reducing risk
  2. Distinguish particularly vulnerable people, regions or sectors
  3. Raise awareness of climate change
  4. Prioritize adaptation funds
  5. Monitor adaptation interventions
  6. Prioritize research in a culturally relevant manner

**Stage 1.  
Define the  
Purpose**

**Steps** – Formulate **questions** to be answered by the assessment



**For Example** the purpose of our research was to understand vulnerabilities to climate change across tribal nations in the American west and how resilience can be strengthened.

**Step 1. Set the Boundary**

**Step 2. Define General Approach**

**1. Set the Boundary**

- Define the system of interest and geographic scope. Can be done at the sovereign national, regional or sectoral level.

**2. Define General Approach**

- (Top-Down vs. Bottoms up)
- Also consider the availability of data, financial and human resources during this stage.

## Stage 2. Set Boundaries

**Step 1.** Set the Boundary

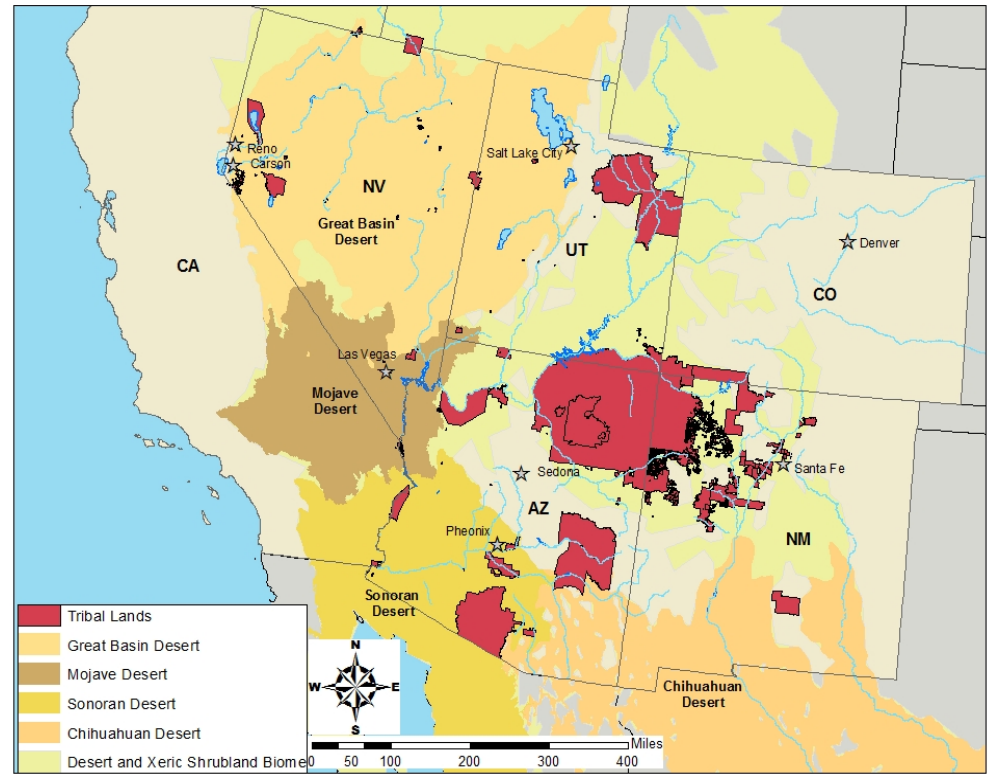
**Step 2.** Define General Approach

### Boundary-

72 tribal entities  
representing 64 tribes  
nations pueblos, bands &  
colonies  
in 25 climate divisions.

**Top-Down approach**

Tribal Study Area Located in a Semi-Arid Climate



Data Source: Deserts- the World Wildlife Fund (WWF) Terrestrial Ecoregion  
Tribes, Cities, States- US Census  
Rivers,Lakes- USGS National Hydrography

Map prepared by: Anna Palmer  
Coordinate System: NAD 1983 2011 Contiguous USA Albers

**Step 1.** Identify Indicators for E, S and AC

**Step 2.** Determine functional relationship to Vulnerability

**Step 3.** Collect Data

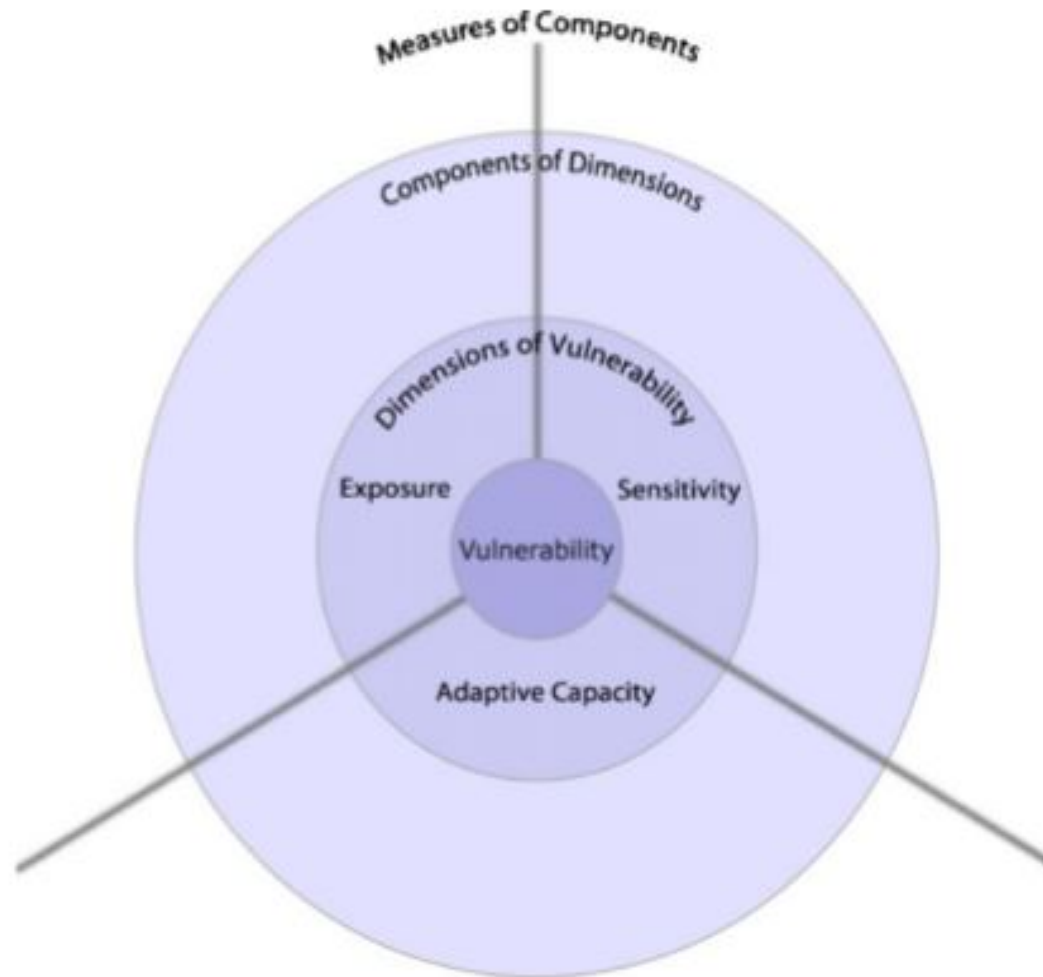


## **Questions to ask**

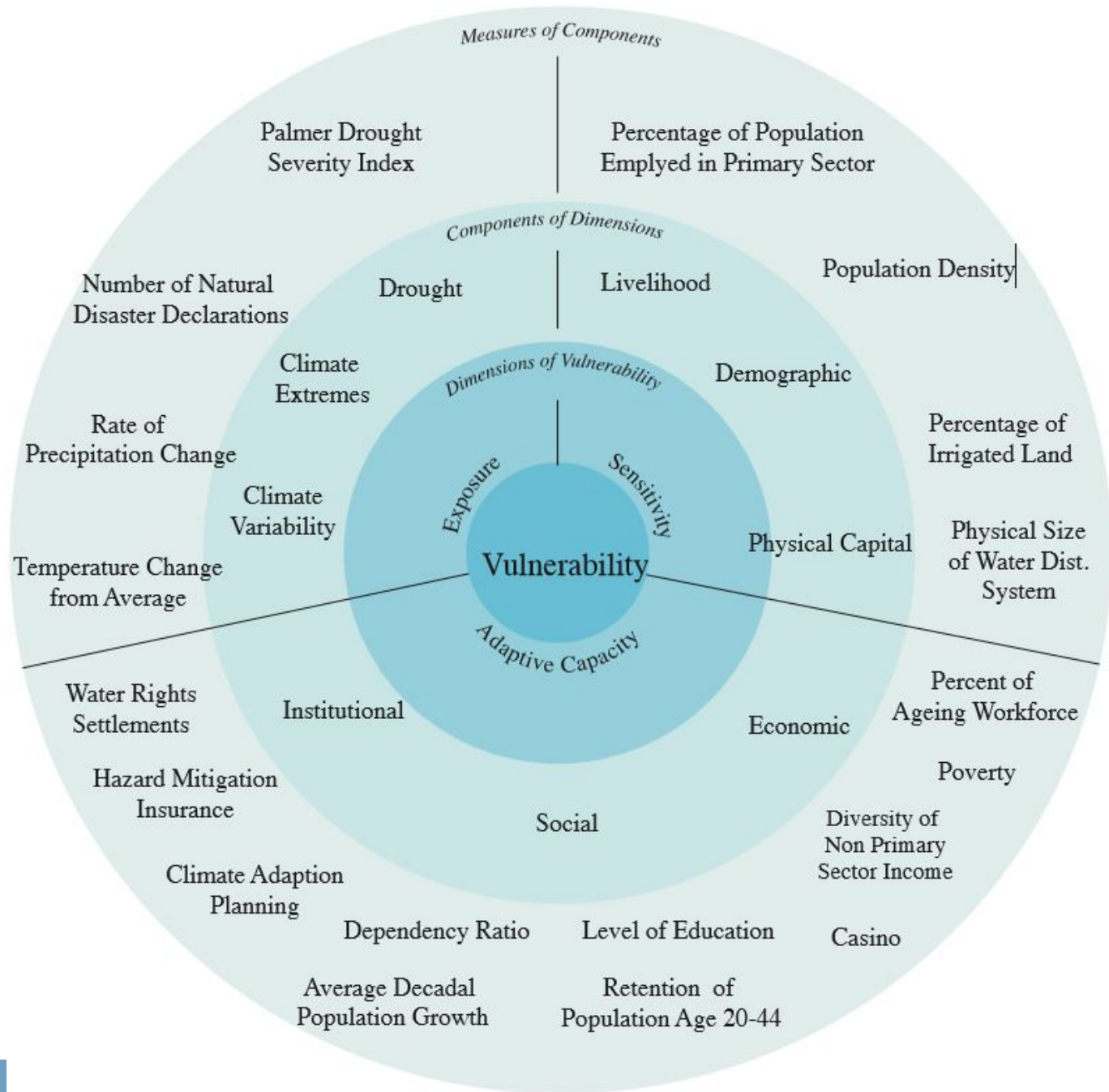
- What are the known climate stressors to your systems?
- How exposed is the system you care about to the impacts of climate change?
- How is your natural or built resource sensitive to present day climate variability?
- How do these climate conditions affect the systems you have identified?
- What is the projected change in the climate conditions you have identified (and by what time period)?
- Are the systems associated with this planning area already able to accommodate changes in climate?
- Alternatively what are the barriers to adaptation?



# Vulnerability Scoping Diagram



Polsky, Neff, Yarnel (2004)



# Important Take Away's- Purpose of VA's



VA's are a tool that can help communities to....

- Take a fundamental first step in adaptation
  - Begins a dialogue
- Prioritizes data collection
  - Provides useful information
- Identify pro-active solutions
  - Take seemingly insurmountable problems and make them manageable

# Helpful Resources

Factsheets will be made available online

## What is a Climate Change Vulnerability Assessment?

### Why should we care about climate change?

Climate change is not a distant problem. It is an immediate regional and local phenomenon that must be taken into account by local governments, cities, states and nations around the world. This fact sheet describes a useful tool that can be used by decisionmakers to meet the emerging climate challenges.

### What is Vulnerability?

Vulnerability has emerged in recent years as a central organizing concept for facilitating a structured process to identify and assess relative risks.

**Vulnerability** is defined as the “degree to which a system is susceptible to, or unable to cope with, adverse effects of climate change, including climate variability and extremes” (IPCC, 2001).

Vulnerability (V) is measured as function of Exposure (E), Sensitivity (S), and Adaptive Capacity (AC)  
 $V = f(E, S, AC)$

Vulnerable systems are usually both sensitive to climate and are less able to adapt.

### What is a Vulnerability Assessment?

Vulnerability assessments (VA)'s are an approach for synthesizing information on biophysical conditions, infrastructure, economics, social characteristics and other locally important factors. They provide a framework and iterative process for examining vulnerabilities and evaluating potential intervention

### Who Uses Them?

To prepare for the risks imposed by climate change VA's are used in a variety of sectors including – disaster management, public health, poverty, food security, ecology and climate change research. Practitioners include land, water utility, and natural resource managers, local authorities, planners, policymakers, academics, scientists, as well as agricultural producers.

This factsheet is made possible by the generous support of the United States Department of Agriculture (USDA) National Institute of Food and Agriculture (NIFA) as part of the Native Waters on Arid Lands project (NWAL). The contents are the responsibility of and do not necessarily reflect the views of USDA, NIFA or the United States Government. For more information please visit [www.nativewaters-aridlands.com](http://www.nativewaters-aridlands.com)

### Vulnerability Assessments are useful for?

- **Identifying and prioritizing threats** - that are regional; and assessing local vulnerability across different sectors.
- **Guiding decision-making** - for prioritizing specific mitigation strategies that reduce vulnerability.
- **Advancing your own discourse** - and dialog about adaptation and resilience using language and indicators that reflect local concerns.
- **Identifying and strategizing funding** - for the accompanying range of adaptation measure that need to be taken.

### General Steps-

- Step 1 **DEFINE** the concepts and criteria for VA together with stakeholders. Hypothesize who is vulnerable to what- identify biophysical drivers, sectors, and the spatial and temporal scale.
- Step 2 **COLLATE** the required information for indicators representing E, S, and AC.
- Step 3 **INTERGRATE** Operationalize model with normalization and aggregation of indicators
- Step 4 **ASSESS** Visualize results with choropleth maps or radar charts. Results can be both socially and spatially referenced which is useful for understanding outcomes as vulnerability is associated with social and environmental phenomena, which often have locational components.
- Step 5 **COMMUNICATE** use the outputs of assessment to explore and communicate adaptation options.

### Conclusion – Take Away Points

1. VA's are used for informing the decision-making of specific stakeholders about adapting options
2. Completion of a VA provides a diagnosis. With this information decision-makers are better equipped to identify threats, communicate challenges and respond to emerging concerns pro-actively.
3. **NEXT STEPS-** Attend our next two webinars with ITEP, or contact Anna ([apalmer@dri.edu](mailto:apalmer@dri.edu)) or Derek ([kaunecki@ohio.edu](mailto:kaunecki@ohio.edu)) for more information.

For more information on this topic please contact:  
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# More Helpful Resources



U.S. National  
Climate  
Assessment

Explore Regional Climate Threats - National Climatic Assessment

- <https://nca2014.globalchange.gov/report#section-1948>



IPCC Summary for Policy Makers - [http://ar5-syr.ipcc.ch/topic\\_summary.php](http://ar5-syr.ipcc.ch/topic_summary.php)

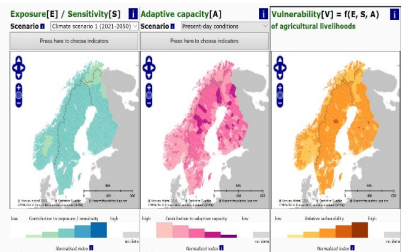
VA Framework - <http://www.ipcc.ch/ipccreports/tar/wg2/index.php?idp=650>



Forest Service Resources and National Climate Change VA Story Map!

- <https://www.fs.fed.us/managing-land/sc/vulnerability-assessments>

- <https://usfs.maps.arcgis.com/apps/MapJournal/index.html?appid=4d52ad331fe4442a875709856048033c>



Data visualization - Vulnerability Map with Indicators

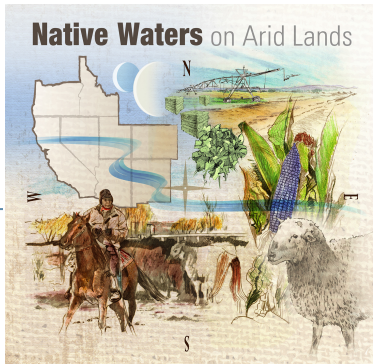
- <http://www.iav-mapping.net/CARAVAN/CARAVAN.html>



Additional Tribal Resources

- <http://nativewaters-aridlands.com/resources/adaptation/>

- <https://tribalclimate.uoregon.edu/publications/>



# THANK YOU!

A recording of this webinar and the slide presentation will be available soon at

[http://www7.nau.edu/itep/main/tcc/Training/Webinars\\_2018](http://www7.nau.edu/itep/main/tcc/Training/Webinars_2018)



## Discussion/Q&A